PATENT
Docket No. RSW920030051US1 (095)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: AIKENS, et al.

Application No.:	Unassigned	Examiner:	Unassigned
Filed:	Herewith	Group Art Unit:	Unassigned

For: **MULTI-TIER COMPOSITE SERVICE LEVEL AGREEMENTS**

INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application. Applicants submit that the present invention is patentable over these references.

Respectfully submitted,

Dated: 9.30.03

By: _____

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INFORMATION DISCLOSURE STATEMENT
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(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.
RSW920030051US1 (095)	
APPLICANT: Aikens, et al.	
FILING DATE: Filed herewith	GROUP

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2001/ 0042227 A1	11/15/2001	Stephenson, et al.			
		US 2002/ 0049841 A1	4/25/2002	Johnson, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO

OTHER DOCUMENTS

			Park, J-T. et al, 2001. *"Management of Service Level Agreements for Multimedia Internet Service Using a Utility Model"*, IEEE Communications Magazine; May 2001; pp 100-106.
			Baek, J-T., et al.; 2000. *"End-to-End Internet/Intranet Service Management in Multi-domain Environment Using SLA Concept"*
			Chan, MC., et al; 2000. *"A Scalable Monitoring Approach for Service Level Agreements Validation,"*, IEEE; pp 37-48
			Liao, R.R-F, et al., 2000. *"Peering and Provisioning of Differentiated Internet Services,"* IEEE Infocom. pp 414-420
			Jeng, J-j.; 2001. *"An Approach to Designing Reusable Service Frameworks via Virtual Service Machine,"* ACM Digital Library. pp. 58-66
			Goderis, D.; 2001. *"Internet Design for SLA Delivery From Service Level Agreement to Per-Hop Behavior: A report on the IST TEQUILA Workshop,"* Global Communications Newsletter, June 2001. pp. 2, 4.
			Serrat, J., et al. *"Integrated Management for IP end-to-end Transport Services over WMD Networks,"* IEEE. 2001. pp 35-46
			D'Souza, K.L., et al. *"Internet Quality and Performance and Control of Network Systems,"* Proceedings of SPIE Conference, Boston, Nov. 6-7, 2000. Proceedings of SPIE, vol. 4211. pp 193-203

EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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